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ELAN MICROELECTRONICS CORPORATION

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

ELAN MICROELECTRONICS
CORPORATION,

Plaintiff,

v.

APPLE, INC.,

Defendant.

Case No. 09-cv-01531 RS

**ELAN MICROELECTRONICS
CORPORATION'S OPPOSITION TO
APPLE INC.'S OPENING CLAIM
CONSTRUCTION BRIEF**

DATE: June 23, 2010
TIME: 1:30 p.m.
JUDGE: Richard Seeborg
CTRM: 3, 17th Floor

AND RELATED COUNTERCLAIMS

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I. INTRODUCTION

Apple's constructions of the disputed claim terms are blatantly litigation-driven. For example, for the key claim limitations of the '352 patent, Apple would inject wholesale a new limitation found nowhere in the claim language, and expressly contradicted by the patent's written description. In other instances, Apple proposes to merely paraphrase the claim terms, doing nothing in the process to clarify the scope of the claims. Moreover, Apple then reveals its true intention – to further limit the scope of the claims by reinterpreting its own proposed construction. Apple would have the Court construe the clear English phrase “in response to” to mean “after and in reaction to.” Apple admits, however, that it will argue that its construction means “immediately after and in reaction exclusively to.” The Court should not permit Apple to play such games. Instead, it should adopt Elan's proposed constructions of the disputed claim terms, for the reasons set forth here and in Elan's Opening Claim Construction Brief.

Likely as a diversionary tactic to take the Court's attention away from its incorrect claim construction position, Apple strenuously argues that Elan should be estopped from advocating any change to the claim construction the Court adopted for the '352 patent in *Elantech Devices Corp. v. Synaptics, Inc.* Apple provides, at best, a selective recitation of the facts in support of its theory of estoppel. There is no basis in equity for the Court to impose such a harsh outcome on Elan. It is true that Elan's predecessor, Elantech, erroneously proposed a construction of certain claim terms that imposed a limitation on the order in which certain claimed steps are performed. That aspect of the claim construction was not directly disputed in the *Synaptics* matter, however, and played no role in the eventual resolution of that case. Moreover, with respect to a related term, Elantech in the *Synaptics* case raised exactly the same argument that Apple attacks here as estopped, and Elantech prevailed on that argument, consistent with its positions in this action. Apple offers no evidence that it would be prejudiced in any way should the Court consider the merits of the parties' claim construction arguments. As such, the Court should reject Apple's estoppel arguments, and determine the proper constructions of the disputed claim terms on the merits of the arguments presented in this action. When it does so, it will become apparent that Apple's positions are inconsistent with the intrinsic evidence, the extrinsic evidence and the law of

1 patent claim construction.

2 **II. U.S. PATENT NO. 5,825,352**

3 **A. Apple's Recitation of the Facts is Self-Serving and Incomplete**

4 Apple focuses much of its argument not on the proper meaning of the claim terms in the
5 '352 patent, but instead urging that Elan is estopped from arguing for the proper construction of
6 those terms. In particular, Apple argues that Elan should not be permitted to argue that the steps
7 of "identify a first maxima...", "identify a minima ...," and "identify a second maxima..." need
8 not be performed in that order. Apple accuses Elan of a sudden change in its claim construction
9 positions, including that Elan successfully presented to Judge Breyer in *Elantech Devices Corp. v.*
10 *Synaptics, Inc.*, Case No. C06-01839 CRB (the "*Synaptics* case"), and urges that Elan's
11 modification of its position is barred by both the doctrines of judicial and collateral estoppel.
12 Apple's argument rests, however, on a highly selective recitation of the relevant facts. When the
13 facts are examined as a whole, and the proper standard is applied, it becomes clear that Elan is not
14 estopped from requesting that the claims be given their proper scope.

15 Elan's predecessor in ownership of the '352 patent, Elantech Devices, did suggest a
16 construction of the '352 patent in which these method steps occurred one after the other. The
17 parties did not contest that language during claim construction or summary judgment. *See*
18 Supplemental Declaration of Sean P. DeBruine, ("Supp. DeBruine Decl.") ¶ 2 and DeBruine
19 Decl., Dkt. No. 88-12 at 5:5-18. Rather, the parties focused on other disputes. This parties did,
20 however, litigate Synaptics' proposal that the related claim term "scanning the touch sensor" be
21 construed to require that the scan proceed "in scan order." In opposition to Synaptics' proposal,
22 Elan raised claim construction arguments consistent with those it presents here, namely that the
23 term "following" in the claim limitation "identify a minima following a first maxima" refers only
24 to a conceptual relationship and does not impose any order on how the method is carried out. *Id.*
25 Elan prevailed on that argument and no order was imposed on the "scanning the touch sensor"
26 limitation. Throughout the argument on the parties' respective motions for summary judgment,
27 the order of the "identify" steps was not at issue. While certain of the Synaptics products were
28 found to meet the limitations as construed by the Court, there was no dispute or discussion on this

point. As such, Elan would have prevailed, to the extent it did, if it had secured the claim construction it advocates here. *Id.* at ¶ 3. As explained in more detail below, these facts support neither judicial estoppel nor issue preclusion.

Apple also provides a partial description of the process in this matter by which the parties set out their respective claim construction positions. Prior to filing the Joint Claim Construction Statement, the parties met and conferred several times on some thirty disputed claim terms or phrases from five different patents. *See id.* at ¶ 4. They discussed simply adopting the *Synaptics* construction for the “identify” steps of claim 1. Very shortly before the deadline for filing the parties’ Joint Claim Construction Statement, however, Apple proposed an entirely new construction of the terms “identify a first maxima,” “identify a minima,” and “identify a second maxima” appearing in claim 1 of Elan’s ’352 patent. When Apple provided a revised draft of the chart setting for the parties’ positions on the ’352 patent claims for the Joint Claim Construction Statement, there was no reference to a requirement that the step of “identifying the minima following the first maxima” be performed after “identifying the first maxima.” *Id.* and Dkt No. 60. It was not until March 31, 2010, that Apple raised this aspect of its proposed construction, characterizing the omission as a typographical error. Supp. DeBruine Decl., ¶ 4 and Ex. A. Apple also made reference to other changes Apple proposed to make to its constructions of other claim terms. *Id.* As Apple notes, Elan responded that it was not its intention to include such a temporal limitation in its proposed construction. *Id.* As such, the uncertainty in the parties’ positions, and the timing of the clarification of those positions is as much a result of Apple’s changing positions as it is Elan’s. For the reasons explained below, estoppel is not appropriate.¹

B. Judicial Estoppel Is Inapplicable Because Elan Did Not Offer the Original Construction To Misrepresent Facts or Defraud the Court and Is Not Advocating Its Modified Construction to Pervert the Judicial Process.

Judicial estoppel is an equitable doctrine which the court has discretion to invoke. *Sandisk*

¹ Citing a footnote in *Schindler Elevator Corp. v. Otis Elevator Co.*, 593 F.3d 1275, 1282 n1 (Fed. Cir. 2010), Apple urges that Elan should be estopped from modifying the claim construction it advanced in *Synaptics*. But *Schindler* does not even use the word “estoppel.” The Federal Circuit reversed other aspects of the district court’s claim construction and vacated the grant of summary judgment and remanded for further proceedings. The footnote language on which Apple relies can be explained by the Court’s refusal, on grounds of waiver, to consider on appeal an argument never presented in the first instance to the district court.

1 *Corp. v. Memorex Prods, Inc.*, 415 F.3d 1278, 1290 (Fed. Cir. 2005) (rejecting accused infringer's
 2 estoppel argument where applying estoppel would "subvert the useful function of pre-trial
 3 discovery and motion practice in focusing issues for trial"). It is "designed to prevent the
 4 perversion of the judicial process and, as such, is intended to protect the courts rather than the
 5 litigants." *Data Gen. Corp. v. Johnson*, 78 F.3d 1556, 1565 (Fed. Cir. 1996). The doctrine should
 6 not be used as a technical defense by litigants, such as Apple, but instead only be invoked to
 7 protect the integrity of the judicial process. *Russell v. Rolfs*, 893 F.2d 1033, 1037 (9th Cir. 1990).
 8 In addition, the Ninth Circuit has held that judicial estoppel is to be applied only in instances of
 9 "knowing misrepresentation to or [] fraud on the court." *Johnson v. Or. Dep't of Human Res.*,
 10 *Rehab. Div.*, 141 F.3d 1361, 1369 (9th Cir. 1998). As can be seen from the chart on page 6 of
 11 Apple's Opening Claim Construction Brief, most of Elan's construction is exactly Judge Breyer's
 12 construction. The requirement to identify a first peak value, the lowest value and a second peak
 13 value in a finger profile obtained from scanning the touch sensor is unchanged. Elan has only
 14 removed the words "before" and "after" upon realizing, for the first time, that these words could
 15 be read to require that the claim requires identifying the two peak values and the lowest value in a
 16 specific sequence, when in fact they were only ever meant to explain the spatial relationship
 17 among the peak values and the lowest value.² On the other hand, Apple presents no argument or
 18 facts showing that Elan knowingly misrepresented or intended to fraud the court in either the
 19 *Synaptics* litigation or in the current case. In fact, Elan's modification of the claim construction
 20 can only be characterized as an effort to cure an inadvertent oversight to the construction advanced
 21 in the *Synaptics* case. Having failed to provide the basis necessary for invoking judicial estoppel,
 22 Apple's claim that Elan is judicially estopped from modifying its claim construction position has
 23 no merit.

24
 25 ² *Solomon Techs. Inc. v. Toyota Motor Corp.*, No. 8:05-cv-1702-T-MAP, 2010 WL
 26 715243(M.D. Fla. Jan. 26, 2010) is distinguishable on its facts. The patentee in that case took
 27 literally opposite positions; contending in the ITC that the term "power conversion means" is a
 28 means-plus-function limitation subject to 15 U.S.C. § 112, paragraph 6 and then contending in the
 district court that the same term "power conversion means" is not a means-plus-function
 limitation. Elan, in contrast, only seeks to remove extraneous language that is arguably
 inconsistent with the position that it has always taken, that a finger profile does not have to be
 obtained by scanning "on an axis" or "in a line."

Moreover, the Federal Circuit has held that “the equities do not favor applying judicial estoppel to prevent claim construction arguments from evolving after [a preliminary construction] . . . , recognizing that a preliminary construction made without full development of the record or issues should be open to revision.” *Sandisk*, 415 F.3d at 1291. “After discovery the court expects the parties to refine the disputed issues and learn more about the claim terms and technology, at which point a more accurate claim construction can be attempted.” *Id.* This is exactly our case. The *Synaptics* case settled well before the discovery phase had been completed, that the record and issues have not been fully developed. *See* Supp. DeBruine Decl., ¶¶ 7 and 8 and Exs. D and E. The *Synaptics* court would expect claim construction to evolve after Judge Breyer issued the preliminary claim construction. The equities do not favor applying judicial estoppel against Elan.

C. Collateral Estoppel Is Inapplicable Because The Order of Identification Steps In Judge Breyer’s Claim Construction Was Not the Basis For The Summary Judgment of Infringement in The Synaptics Case.

Collateral estoppel (or “issue preclusion”), in contrast to judicial estoppel, applies to prevent re-litigation of issues actually litigated and necessarily decided, after a full and fair opportunity for litigation, in a prior proceeding. *Af-Cap, Inc. v. Chevron Overseas (Congo) Ltd.*, 475 F.3d 1080, 1086 (9th Cir. 2007); *In re Trans Tex. Holdings Corp.*, 498 F.3d 1290, 1297 (Fed. Cir. 2007). Although the Federal Circuit usually applies regional circuit law to procedural questions, such as issue preclusion, whether to invoke issue preclusion with respect to claim construction is so intimately involved with substantive patent law that it should be governed by Federal Circuit law. *See Vardon Golf Co., Inc. v. Karsten Mfg. Corp.*, 294 F.3d 1330, 1335-36 (Fed. Cir. 2002) (Dyk, J. concurring); *Dana v. E.S. Originals, Inc.*, 342 F.3d 1320, 1327-28 (Fed. Cir. 2003) (Dyk, J. concurring). While some district courts have treated claim construction rulings as final judgments and rigidly applied collateral estoppel, most district courts have adopted a more flexible approach. *See Kollmorgen Corp. v. Yaskawa Elec. Corp.*, 147 F. Supp. 2d 464, 470 (W.D. Va. 2001) (courts need not blindly apply the doctrine of collateral estoppel to a prior Markman ruling). Indeed, the Federal Circuit has stated that for an issue decided in a prior proceeding to have preclusive effect in a later action, the determination of that issue must have been necessary to the final judgment in the prior case. *Shell Petroleum, Inc. v. United States*, 319

1 F.3d 1334, 1339 (Fed. Cir. 2003) (citing *In re Freeman*, 30 F.3d 1459, 1465 (Fed. Cir. 1994),
 2 which affirmed the holding in *Jackson Jordan, Inc. v. Plasser American Corp.*, 747 F.2d 1567,
 3 1577 (Fed. Cir. 1984) that in order to apply issue preclusion to a claim interpretation issue decided
 4 in a prior infringement adjudication, the interpretation of the claim had to be the reason for the loss
 5 in the prior case on the issue of infringement); *Jet, Inc. v. Sewage Aeration Sys.*, 223 F.3d 1360,
 6 1366 (Fed. Cir. 2000) (citing *Montana v. United States*, 440 U.S. 147, 153-55 (1979) and *Blonder-*
 7 *Tongue Labs., Inc. v. Univ. of Ill. Found.*, 402 U.S. 313, 332-33 (1971)). The purpose of this
 8 requirement is to prevent the incidental or collateral determination of a nonessential issue from
 9 precluding reconsideration of that issue in later litigation. *Shell Petroleum*, 319 F.3d at 1339;
 10 *Phonometrics, Inc. v. N. Telecom Inc.*, 133 F.3d 1459, 1464 (Fed. Cir. 1998) (prior decision
 11 construing claim limitations not at issue in the prior action was merely dictum and therefore has
 12 no issue preclusive effect).

13 Elan won summary judgment in the *Synaptics* case because the accused products identified
 14 a first peak value, the lowest value between the two peak values and a second peak value. *See*
 15 Declaration of Elizabeth H. Rader In Support of Elan Microelectronics Corporation's Opposition to
 16 Apple's Opening Claim Construction Brief ("Rader Decl."), Ex. A (Mem. and Order at 6-7, Mar.
 17 13, 2008). Elan's infringement argument did not include the order in which the accused Synaptics
 18 products identified the values. *See id.* Exs. B and C (Elantech Devices Corp.'s Notice of Mot. and
 19 Mot. for Summ. J. for Infringement at 11-15, May 25, 2007; Elantech Devices Corp.'s Reply Br.
 20 in Support of Its Mot. for Summ. J. for Infringement at 1-6, Jun. 22, 2007); *see also* Supp.
 21 DeBruine Decl., Exs. B and C (Elantech Devices Corp.'s Notice of Mot. and Mot. for Partial
 22 Summ. J. of Infringement at 5-7, Nov. 20, 2007; Elantech Devices Corp.'s Reply Mem. in Supp.
 23 of Its Mot. for Partial Summ. J. of Infringement of the '352 Patent, Dec. 28, 2007). It is telling
 24 that Apple uses a snippet of the transcript of the oral argument, taken out of context, rather than
 25 Elan's infringement contentions or claim charts, to imply that Elan argued that the claim requires
 26 doing the identifications in a specific order. In arriving at this decision, Judge Breyer did not
 27 analyze the order of steps. *See* Rader Decl., Ex. A at 6-7. Thus, whether or not the claims
 28 required a temporal sequence was in no way necessary for Judge Breyer to conclude that

Synaptics' products identified a first peak value, the lowest value between the two peak values and a second peak value and, as a result, infringed the '352 patent. *Id.* Judge Breyer could and would have reached the same holding of infringement applying the construction Elan now proposes. Supp. DeBruine Decl., ¶ 3. To hold that Elan is estopped from modifying its claim construction position would run afoul of the underlying policy of collateral estoppel by precluding reconsideration of nonessential issues that were incidentally or collaterally determined in the prior case.

D. Elan's Construction Should Be Adopted on the Merits

1. Apple's Attempt to Rewrite the Claims to Require Scanning "On an Axis" is Legally and Factually Erroneous.

Claim term	Apple's Proposal	Elan's Proposal
identity a first maxima in a signal corresponding to a first finger	identify a first peak value in a finger profile <i>taken on an axis</i> obtained from scanning the touch sensor (emphasis added)	identify a first peak value in a finger profile obtained from scanning the touch sensor
identify a minima . . . following a first maxima	identify the lowest value in the finger profile taken on said axis that occurs after the first peak value and before another peak value is identified	identify the lowest value in the finger profile that occurs after the first peak value
identify a second maxima in a signal corresponding to a second finger following said minima	after identifying the lowest value in the finger profile taken on said axis, identify a second peak value in the finger profile taken on said axis	identify a second peak value in the finger profile that occurs after the first peak value

As discussed above, there is simply no basis in fact or law for Apple's claim that Elan is estoppel from advocating for the legally proper construction of the '352 patent. In fact, the Court should consider Apple's overwrought arguments for what they are: a diversionary tactic to allow its facially improper claim construction to slip past the Court's scrutiny. How else could Apple hope to succeed in its brazen attempt to have the Court simply rewrite the language of the asserted claims? Even a cursory examination of Apple's arguments in light of the well-established case law makes abundantly clear that Apple's proposed construction, with its blatant addition of limitations found nowhere in the claims, must be rejected. In particular, Apple's construction violates – and its Brief simply ignores – every fundamental tenet of the claim construction process:

(a) Apple's Claim Construction Ignores the Basic Tenet That the Claim Language Itself Defines the Scope of the Claims

Construction of patent claims must begin and always be grounded in the claim language itself. The language of the claims themselves define the scope of the patented invention. This is the “bedrock principle” from which all claim construction analysis must proceed. *Phillips v. AHW Corp.*, 415 F.3d 1303, 1312 (Fed. Cir. 2005) (en banc). Apple's Brief never once sets out for the Court the claim language Apple asks it to construe in the context of the entire claim. Rather, Apple provides only the words and phrases for which it is seeking a limited construction. *See* Apple Br. at 6. Apple's proposed construction of the phrases “identify a first maxima,” “identify a minima” and “identify a second maxima” each would insert the limitation “taken on an axis.” Apple repeatedly makes the bald statement that the claims themselves are somehow clearly limited to a one-dimensional scan of the touchpad traces taken along an axis. *Id.* at 6:18-20 (limitation confirmed “throughout the specification and the claims”) and 12:10-11 (“essential to the very character of the claimed invention”). Yet none of these statements are backed up by any citation to or discussion of the claim themselves.

The reason for Apple's strategy is clear for one reason: There is simply no basis in the language of the claims for the extraneous limitation Apple would impose. The relevant language in claim 1 reads as follows:

1 “Scanning the touch sensor to (a) identify a first maxima in a signal corresponding to a
2 first finger; (b) identify a minima following the first maxima; and (c) identify a second maxima in
3 a signal corresponding to a second finger following said minima”
4 DeBruine Decl., Dkt No. 88, Ex. A at 16:16-20. While it is impossible to discern from Apple’s
5 brief, it would appear that Apple would have the Court construe the claim term “signal
6 corresponding to a first finger” to mean a “one dimensional profile of finger capacitance taken
7 from scanning the touch sensor along an axis.” Interesting then that Apple Brief, other than the
8 brief recitation of parts of this claim element in its table setting forth the parties’ position, never
9 once mentions the terms “Scanning” or “signal corresponding” to a first or second finger.

10 Apple’s reluctance to even mention the actual claim language stems from the fact that any
11 review of that language is fatal to its proposed construction. On its face there is no basis at all to
12 limit the term “signal corresponding to . . . a finger” to the precise requirement that the signal be
13 converted to values “taken on an axis,” and Apple makes no attempt to provide one. Rather the
14 opposite is true. Nothing in the claim restricts the invention to a touch sensor that is scanned
15 along an axis. Rather, the patent makes very clear that the claims encompass broadly any type of
16 touch sensor. While the preferred embodiment is described in the context of a capacitive sensor
17 with traces arrayed in an x and y matrix, the written description makes clear that there is no
18 intention to limit the claims. Thus, the patent states that the invention can be practiced with “any
19 conventional touch sensing technology.” *Id.* at 2:21-22. Those technologies include “resistive
20 membranes” “resistive tablets, surface acoustic wave . . . strain gages or pressure sensors and
21 optical sensors.” *Id.* at 1:20-26. In addition, the patent makes clear that the step of “scanning”
22 need not proceed along an axis, or in any sequential manner. Rather, the patent states that the
23 sensors may be scanned in any order or simultaneously. *Id.* at 7:36-37. Apple, as the party
24 seeking to read additional limitations into the plain language of the claim, bears the burden of
25 demonstrating why such a limitation is required in light of the language of the claims themselves.
26 Apple has not and cannot do so.

(b) **Apple’s Construction Ignores the Tenet that Claims Are Not Limited to the Embodiments in the Written Description**

Apple also ignores the principle that limitations found only in the specification may not be imported into the claims. *Phillips*, 415 F.3d at 1320. That is the case even when the patent discloses only a single embodiment. *Id.* The Federal Circuit in *Phillips* traced this principle back to the Patent Act of 1839, citing numerous early Supreme Court opinions. *Id.* at 1312. For example, in 1895 the Supreme Court stated “if we once begin to include elements not mentioned in the claim, in order to limit such claim ..., we should never know where to stop.” *McCarty v. Lehigh Valley R.R. Co.*, 160 U.S. 110, 116 (1895). Not surprisingly, this tenet was universally recognized by the Federal Circuit prior to the *Phillips* en banc decision. *See, e.g. Specialty Composites v. Cabot Corp.*, 845 F.2d 981, 987 (Fed. Cir. 1988) (“Where a specification does not require a limitation, that limitation should not be read from the specification into the claims.”); *Comark Commc’ns, Inc. v. Harris Corp.*, 156 F.3d 1182, 1187 (Fed. Cir. 1988) (Language in the accused infringer’s proposed construction “clearly found in the . . . patent’s description of the preferred embodiment. It is precisely against this type of claim construction that our prior case law counsels.”). It is also significant, and again ignored by Apple, that claims are not limited to the preferred embodiment even where only one embodiment is described. *Altiris, Inc. v. Symantec Corp.*, 318 F.3d 1363, 1372 (Fed. Cir. 2003) (“merely because the specification only describes one embodiment is not a sufficient reason to limit the claims to that embodiment.”) “Similarly, the mere fact that the patent drawings depict a particular embodiment of the patent does not operate to limit the claims to that specific configuration.” *Prima Tek II, LLC v. Polypap, S.A.R.L.*, 318 F.3d 1143, 1148 (Fed. Cir. 2003).

Yet Apple’s entire claim construction argument is based upon exactly this sort of improper importation of limitations nowhere found in the claim language. Apple’s description of the patent, and argument for its limitation to the preferred embodiment, relies entirely on its description of what the patent expressly refers to as an “exemplary” embodiment, that is a “simplified” illustration. DeBruine Decl., Dkt No. 88, Ex. A at 7:1 and 10:66-11:1. In order to limit the claim term with reference to the disclosed embodiments, the specification must contain some indication

that equated the disclosed invention with the claimed invention, or manifested some clear intention to exclude alternatives. *Phillips*, 415 F.3d at 1316; *see also Conoco, Inc. v. Energy & Envtl. Int'l., L.C.*, 460 F.3d 1349, 1357-58 (Fed. Cir. 2006) (intention to limit or disavow claim scope must be clear). Apple points to no such language in the claims or the specification, because there are none. For this reason as well Apple's attempt to inject "taken on an axis" into the claim construction is manifestly improper.

(c) **Apple's Construction Ignores the Basic Legal Standard That Claims Are To Be Construed As They Would Be Understood By One Of Ordinary Skill In The Art.**

In light of Apple's failure to consider the "bedrock principle of claim construction," that the claims themselves define the scope of the invention, which follows from its impermissible attempt to limit the claims to the preferred embodiment. It is not surprising that Apple's construction ignores the very legal standard that governs the claim construction process. The "objective baseline" for the construction of disputed claim terms is the determination of "how a person of ordinary skill in the art understands a claim term." *Phillips*, 415 F.3d. at 1313. Again, Apple is largely silent with regard to who such a person is, what knowledge he or she would bring to bear on a reading of the patent and file history. Glaringly, Apple provides no basis whatsoever for its implicit claim that one skilled in the art would understand that "a signal corresponding to a . . . finger" is somehow limited to a representation of signals taken "along an axis." Again, Apple has not addressed this point because to do so would be fatal to its unduly limited claim construction. As Elan has demonstrated, one of skill in the art would not understand the term "signal corresponding to a . . . finger" that results from scanning a touch sensor to be limited to one dimensional signals taken on an axis. *See Br.* at 21-23. Apple does not, and can not, dispute that known touchpads could generate what Apple refers to as two-dimensional signals, e.g. where the values generated from finger contact are stored as coordinates, for example in a matrix of x and y values simultaneously.

One of ordinary skill in the art would not understand the claim language "scanning the touch sensor to identify . . . a maxima in a signal corresponding to . . . a finger" to be limited to scanning a profile taken on an axis. Signals corresponding to the finger may be created and stored

by scanning touch sensors sequentially simultaneously or in any order. DeBruine Decl., Dkt No. 88, Ex. A at 7:36-40 and 11:11-15. One of ordinary skill in the art understood that the data could be determined and stored along an axis, or in a matrix of discrete points. *See* Dezmelyk Decl., Dkt No. 89, ¶ 20. In addition, as described in more detail below, Apple is using the term “axis” in its proposed construction in a way different from the way that term is understood by those skilled in the art. Because Apple’s proffered construction of these limitations would require the Court to depart from the bedrock legal principles governing claim construction, it must be rejected as a matter of law.

(d) Apple Provides No Cogent Argument to for its Limitation of the “Identifying” to a Sequential Temporal and Spatial Order

On the merits, Apple’s attempt to insert “taken along an axis” must be rejected. First, Apple does not appear to use the term “axis” as it is understood by those skilled in the art, or as it is used in the patent. The term “axis” is defined as “[i]n a coordinate system, the line determining one of the coordinates, obtained by setting all other coordinates to zero.” *See* Rebuttal Declaration of Robert Dezmelyk in Support of Elan Microelectronics Corp.’s Opposition to Apple Inc.’s Opening Claim Construction Brief filed herewith (“Dezmelyk Rebuttal Decl.”), ¶ 3 and Ex. 1 (McGraw Hill Scientific Dictionary at 136). The context of the preferred embodiment of the patent there are two axes --the x-axis and the y-axis. Supp. DeBruine Decl. at 11:11-15. It is precisely in the context of this understanding of “axis” in which the patent flatly states that identification of the maxima and minima are not limited to a profile taken “on an axis,” e.g. in the X or Y direction. *Id.* Because the patent expressly teaches that it is **not** limited to analysis along an axis, Apple’s attempt to interject such a limitation must be rejected. In addition, Apple’s attempt to define a claim limitation by using a term in a manner other than its plain meaning only adds ambiguity to the claim, rather than clarify its scope. For this reason as well Apple’s construction must be rejected.

To the extent Apple provides any arguments on the merits for its construction of the terms from the ’352 patents, those arguments are specious and do not support Apple’s baldly contrived attempt to add limitations to the claims wholesale. Apple’s addresses both its “on an axis”

1 limitation and its proposed requirement that the steps of the method be performed in the recited
 2 order in the same section of its Brief. *See* Apple Br. at 9:21-14:27. Apple starts from the premise
 3 that each of the “identification” steps must be performed “sequentially *in space* and *in time*.” *Id.*
 4 at 9:27-28. Nothing in Apple’s brief supports that argument, however.

5 To support is argument that the identification process must be “on an axis” Apple spends
 6 considerable time analyzing an exemplary illustration of the capacitive profile of three fingers in
 7 contact with the touch sensor where the x and y values are plotted simultaneously. *Id.* at 9:21-
 8 12:4. Nothing in that convoluted discussion mandates, or even supports, the insertion of arbitrary
 9 claim limitations as Apple proposes. In particular, Apple asserts that the relational limitations
 10 “following” are somehow meaningless when applied to such a two dimensional representation.
 11 Apple’s claim is not supported by any evidence and is contrary to common sense.

12 Apple’s argument is that, absent a requirement that the values occurring along each one
 13 dimensional axis be treated in order, there is no way to determine which maxima follows which.
 14 “Absent an axis to traverse, there is no starting place and no definitive way to ...identify one peak
 15 as ‘following’ another.” *Id.* at 10:26-27. The flaw in Apple’s logic is that it assumes its own
 16 conclusion -- that there is only possible starting point and one way to analyze the data. However,
 17 one skilled in the art would not have such a limited understanding of the claim language. The
 18 operative language, “scanning the touch sensor to ... identify” would be understood by those
 19 skilled in the art to encompass *any* orderly review of the entire touch sensor. “Scan” to mean “to
 20 examine an area ... point by point in an ordered sequence.” Dezmelyk Rebuttal Decl., Ex. 1
 21 (McGraw Hill at 1420). A “scan” is therefore “one complete circular, up and down, or left to right
 22 sweep of the ... device making the scan.” *Id.* One of ordinary skill in the art would therefore
 23 understand that the scan may proceed in any orderly manner that would result in an examination of
 24 all of the sensor values.

25 A typical “scan” well known to electrical engineers is a “raster scan” in which the process
 26 begins in one corner and proceeds in a back and forth matter down or across, depending on the
 27 orientation chosen). The starting point for determining which follows another can be arbitrary,
 28 and there are several ways to determine relative position. For example, the analysis could easily

1 start at the lower left of the illustrated capacitance graph. If the analysis then proceeds by
2 examining along the values in the x direction along the 0 y axis, then proceeds to the 1 y axis, etc.
3 quickly find the signal corresponding to finger 1 and its maxima. That peak would be followed by
4 a series of locations with no capacitive values and then the second finger, which Apple
5 erroneously labels as “Peak 3.”

6 Again, Apple’s entire argument *starts from* the premise that the capacitance values must
7 be analyzed along either the x or y axis. “As shown below, Peak 2 follows Peak 1 and precedes
8 Peak 3 as one travels from left-to-right *along the x-axis*, while Peak 3 follows Peak 1 and
9 precedes Peak 2 *along the y-axis*.” Br. at 11:1-9. Logic that starts with its own conclusion as an
10 assumption is rarely probative or anything, and that is the case here. Apple’s entire argument is
11 premised on the assumption that only a procedure for analyzing a one-dimensional set of values
12 can be used to analyze a two-dimensional value set. The starting point is somewhat arbitrary, with
13 the logical points being one of the four corners of the touchpad. The logical ending point is
14 clearly the diagonally opposite the starting point. However, once the starting point and scan
15 direction are chosen, it is an elementary issue to determine which is “first” in reference to the
16 starting point and which “follows” that first point. The path traversed to arrive at the end point,
17 that the points that “follow” one another, are therefore a function of the choice of scanning
18 method, the starting point and the end point. Thus, when examined in the method required of
19 claim construction – namely the meaning one of skill in the art would ascribe to the claim terms
20 themselves – Apple provides absolutely no support for its argument that the scanning steps be
21 performed sequentially along an axis.

22 Apple’s argument that the identification steps impose a temporal limitation is likewise
23 unsupported. In its Opening Brief, Elan set forth the premise that, absent some express language
24 in the claim otherwise, there is no requirement that steps of a method be performed in the order
25 recited. *See* Elan Br., Dkt No. 87 at 23:2-24:25. Apple cites no authority at all in support of its
26 contention to the contrary, and certainly none that would justify a departure from the presumed
27 meaning of the claims. Rather, Apple resorts again to a description of the preferred embodiment.
28 Apple Br. at 13-14. As discussed above, the fact that the preferred embodiment may proceed in a

particular order does not justify importing such a limitation into the claims. Here, the term “following” would be understood by those skilled in the art to refer to the spatial relationship of the maxima and minima with respect to a given reference point. When understood in that way – which Apple concedes is a fair understanding, there is no basis on which to impose an addition, temporal limitation.

2. “Identify” and “In Response To” Are Common English Terms That Need No Construction

Apple’s Proposal	Elan’s Proposal
“recognize a value to be”	plain meaning

As discussed in Elan’s Opening Brief, Apple’s purported constructions of the terms “in response to” and “identify” are both unnecessary and disingenuous. First, Apple attempts to impose its construction of “identify” because of what it termed a “latent dispute” over that term in the earlier Synaptics litigation. While it is true that the term “identify” was at issue during the summary judgment briefing, after claim construction. Much like Apple does here, this dispute was the result of Synaptics’ attempt to avoid its clear infringement by adding additional limitations onto the claim term. And much like this Court should do here, that attempt was rejected. Interestingly Apple does not mention *how* the Synaptics Court resolved this dispute. In that case Synaptics argued, citing the preferred embodiment, that “identify” required the identification of “particular” or “specific” measured capacitance values. *See* Walter Decl., Dkt. No. 86, Ex. G at 5. That is precisely what Apple is proposing its construction means here – the identification of a particular measured value stored in memory. *See* Apple Br. at 16 (recognition of “actual value” of maxima and minima); DeBruine Decl., Dkt No. 88, Ex. G at 102:15-105:8. This Court in *Synaptics* rejected that argument, finding that “identifying” means what is says, that is to identify the locations of the maxima and minima. Walter Decl., Dkt No. 86, Ex. L at 16. Apple’s attempt to add additional restrictions onto this limitation should be rejected.

Apple’s Brief also points out the “latent dispute” in Apple’s proposal to re-write the phrase “in response to” as “after and in reaction to.” That is because Apple intends its construction to

mean *immediately* after and in response *only* to” the identification of two maxima. Apple Br. at 17 (“immediately following” the identification steps) and 18, n.5 (“using strictly the identification of two maxima” to the exclusion of other events). Again, there is no support in the patent or the specification for this narrow construction, one that Apple apparently dares not even make explicitly. In fact, the preferred embodiment contradicts Apple’s attempt to add additional restrictions. In the preferred embodiment, the patent discloses that steps are taken after the identification of the maxima and minima to test the relevant values against threshold levels and in relation to each other. Only *after* those additional steps, taken to “ensure that a legitimate valley and two legitimate peaks have been detected” is the indication of two fingers provided. DeBruine Decl., Dkt No. 88, Ex. A at 10:52-65. Thus, while in response to the identification of two maxima, the indication is neither immediate, nor limited to that factor alone. Again, Apple provides no justification for replacing the chosen claim term “response” with its suggestion “reaction.”

3. The specification Clearly Discloses and Links Copious Structure to the “means for selecting an appropriate control function” Limitation (claim 19) and Therefore the Claim Is Not Indefinite.

Apple’s Proposal	Elan’s Proposal
<p>The recited <u>function</u> is selecting an appropriate control function based on a combination of a number of fingers detected, an amount of time said fingers are detected, and any movement of said fingers.</p> <p>Because the specification does not disclose a corresponding structure, this limitation is indefinite</p>	<p>The recited <u>function</u> is selecting an appropriate control function based on a combination of a number of fingers detected, an amount of time said fingers are detected, and any movement of said fingers.</p> <p>The <u>corresponding structure</u> is Analog multiplexor 45: Capacitance measuring circuit 70: A to D convertor 80, Microcontroller 60 and/or software, firmware or hardware performing the claimed function.</p>

Apple’s claim that there is insufficient structure disclosed in the specification is somewhat bewildering. Apple’s claim construction position is that there is no structure disclosed for mapping a user’s gestures to a control function. Yet Apple plainly admits that the specification

1 does disclose “a few examples of proposed mappings between specific gestures and control
2 functions. Apple Br. at 20. Apple simply ignores the very detailed exemplary algorithm disclosed
3 that maps a particular sequence of gestures and mapping those gestures to a particular control
4 function, namely the marking of text. In particular, the sequence of marking text involves moving
5 the cursor to the desired location with one finger, setting a second finger down to emulate a button
6 press, and then moving the first finger to indicate the text to be marked. The movement may
7 involve lifting and repositioning the finger moving the cursor. The gesture ends when both fingers
8 are lifted from the touchpad. DeBruine Decl., Dkt No. 88, Ex. A, Figs. 7F-1 and 7F-2; 12:58-67
9 and 13:44-51. An algorithm to map those gestures in to the appropriate control function by
10 providing the appropriate button and cursor movement data to the host is set out in Figs. 8 and 9.
11 *Id.* at 13:59-61. The patent also says that such an algorithm is applicable to the other exemplary
12 gestures. Thus, there is more than “at most a few” examples; the examples take up 11 sheets of
13 drawings and four columns of explanatory text. *See id.*, Figs. 7-9; 11:24-16:5. Moreover, there is
14 no dispute that one of ordinary skill in the art would understand how to modify the detailed
15 algorithm of Figs. 8 and 9 to analyze the other exemplary gestures described in the patent, and to
16 output the proper command and cursor control data to the relevant host or application program.
17 Declaration of Robert Dezmelyk in Support of Elan Microelectronics Corporation’s Opening
18 Claim Construction Brief, Dkt. No. 89.

19 Apple’s incantation of “computer implemented invention” and citation to a few egregious
20 cases does not make this disclosure disappear. Rather, the cases Apple cites involve the true case
21 where the only disclosed structure is a computer without any further teaching. Thus in
22 *Blackboard, Inc. v. Desire2Learn Inc.*, 574 F.3d 1371 (2009), the claim element at issue was a
23 “means for allowing access to and control of the data file associated with the course if
24 authorization is granted based on the access level of the user of the system.” 574 F.3d at 1382.
25 The only disclosed structure was “Access control manager 151” described only as performing the
26 claimed function. *Id.* That was not sufficient structure because it was only “an abstraction that
27 describes the function ... essentially a black box.” *Id.* at 1383. The other cases cited by Apple
28 involve a similar total lack of structure. *Id.* at 1383-1384. Here there is more than just the

disclosure of “software.” The patent describes in detail the hardware involved, the fact that the function of determining the proper control function may be performed in firmware in the touch pad controller on software on the host computer, and gives detailed examples of the gestures, control functions and algorithms that perform the function.

III. U.S. PATENT NO. 7,274,353

A. The Claims Do Not Require the First and Second Patterns to be Single Printed Graphics.

Apple’s Proposal	Elan’s Proposal
a single graphic printed on said panel representing a mode switch that switches from key to handwriting mode and from handwriting to key mode	information on the panel, visible to the user, indicating where the user can touch to change modes.

As anticipated in Elan’s Opening Brief, Apple relies on statements in the Specification stating that the patterns on the touch pad that represent mode switches and the patterns for operation in key mode and mouse mode can be printed, and on its own interpretation of Figure 1, with which Elan obviously disagrees, to ask the Court to construe the claims to require a single, printed graphic. Apple Br. at 22:2-25:15. The plain language of the claims does not require “printing” or that each pattern be a single graphic. Elan obviously does not dispute that the specification uses the word “printed” several times. But the specification is equally clear that although the patterns are “usually” printed on the top of the panel, “variations or modifications are possible within the scope of the present invention.” In other words, reading the claims in light of the specification, how the patterns are displayed on the panel is not important to practicing the invention, as long as the user can see the patterns and understand from them where to touch to change mode and where to touch to enter information.

1. Apple’s Argument that Elan’s Construction of “Second Patterns” Broadens The Claim By Changing “And” to “Or” Misstates Elan’s Position to Set up a Straw Man.

Apple’s Proposal	Elan’s Proposal
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“two or more graphics that are printed on the specific regions and are present in and perform operations in both key and handwriting modes

visual information on the panel that delineates “virtual regions” to convey to the user where to touch to enter alpha numeric data in key mode or enter handwriting data in handwriting mode.

Apple accuses Elan of attempting to rewrite the claims to require second patterns only in key mode or handwriting mode and but not necessarily in both modes, or to require “third patterns” on the panel for handwriting mode. Apple Br. at 25:16-26:11. Apple goes out of its way to read Elan’s construction incorrectly. Why would Elan rewrite its own patent’s claim to add a limitation that would make it harder to prove infringement? The word “or” was simply intended to reflect that the invention contemplates use in one mode at a time: the user is either entering alphanumeric data (key mode) or entering handwriting data (handwriting mode). Elan would not object to the following construction to address Apple’s misreading:

Visual information on the panel that delineates “virtual regions,” in both key **and** handwriting modes, to convey to the user where to touch to enter data.

In short, this is a non-issue and it appears that the only real dispute as to the ’353 patent claims is whether the “patterns” must be static and printed or can be dynamically displayed on a screen. The Court should adopt Elan’s constructions and reject Apple’s invitation to narrow the claims based on one embodiment in the Specification.

IV. U.S. Patent No. 5,764,218

A. “Cursor control operation” In Claims 1 and 5 of the ’218 Patent Should Be Construed to Mean “Providing of Positional Data to Effect Movement of the Cursor (i.e. Cursor Tracking).”

Apple’s Proposal	Elan’s Proposal
operations by a cursor controller such as a drag, single-click and multiple-click	providing of positional data to effect movement of the cursor (i.e., cursor tracking operation)

Unfortunately, Apple seriously misstates Elan’s position when it asserts that Elan’s position is that cursor control operation is limited to a single operation “based upon one example from one part of one figure of the patent and extrinsic evidence.” Apple Br. at 27:23-25. Elan’s

1 construction can be shortened to “cursor tracking operation” but this category includes at least
2 three different cursor control operations: simple cursor movement tracking finger movement,
3 drag, and “click and drag.” Br. at 8:19-9:3. What these three operations have in common, and
4 what differentiates them from other “control operations” such as “click” and “multiple click” is
5 that in *cursor* control operations, the input device provides positional data to effect the movement
6 of the cursor on a screen. *Id.* at 8:19-9:8. Apple’s position is that “cursor control function” has
7 the same meaning as “control function” in the specification and includes not only operations in
8 which the cursor moves but also those in which it stands still: single-click and multiple click. *See*
9 Apple Br. at 27:18-19 and 28:21-25, citing ’218 patent’s examples of “control operations.”

10 Having misstated Elan’s construction as covering only simple tracking rather than
11 operations that provide positional data to move the cursor, Apple proceeds to criticize the
12 narrower construction for being too narrow. Setting up this straw man instead of arguing against
13 Elan’s real position renders much of Apple’s argument irrelevant. For example, Apple boasts of
14 “forcing” Elan’s expert, Dr. Dezmelyk, to “concede” that cursor control operations include cursor
15 positioning, dragging, click-and-drag and multi-click-and-drag. *Id.* at 29:12-16. Dr. Dezmelyk’s
16 testimony is not a concession. It simply applies Elan’s construction, which includes operations
17 that include “providing of positional data to effect movement of the cursor” but not operations that
18 do not move the cursor. Cursor positioning, dragging, click-and-drag and multi-click-and-drag all
19 involve providing positional data to affect movement of the cursor.

20 As expected, Apple relies on the prosecuting attorney’s comments accompanying the
21 amendment of claims 1 and claim 5. Apple Br. at 28:7-20. As noted in Elan’s opening, however,
22 the Examiner did not adopt the attorney’s remarks. Rather, he reiterated that the claims were
23 allowable because they required the unique third “cursor control operation, namely the “click &
24 drag & stick” operation.” DeBruine Decl., Dkt No. 88, Ex. J at APEL0001276. As such, this
25 aspect of the prosecution history supports Elan’s construction. The only practical dispute
26 between the parties is whether “cursor control operation” includes operations—click and multi-
27 click—in which the cursor does not move because no positional information is provided.

28 Elan agrees that the purpose of the ’218 patent’s claimed invention is “to enable an

operator to perform with a single touch-sensitive input device numerous *control* operations, such as cursor manipulation, click, multi-click, drag, click-and-drag, and multi-click and drag operations.” But it does not follow from the scope of the entire claimed invention that “*cursor control operation*” in claims 1 and 5 should be construed to include every “control operation” that can be performed with a touch-sensitive input device including clicks that do not affect the position of the cursor on the screen. Every claim does not cover every aspect of the invention. *See SRI Int’l v. Matsushita Elec. Corp. of Am.*, 775 F.2d 1107, 1121 (Fed. Cir. 1985) (en banc); *AllVoice Computing PLC v. Nuance Commc’ns, Inc.*, 504 F.3d 1236, 1248 (Fed. Cir. 2007). Here, claims 1 and 5 focus on the ability to use contact intervals and gap intervals to distinguish among various operations that all include providing positional data to effect movement of a cursor, rather than on the ability to recognize a click or multiple clicks. Apple has offered no explanation for why the patentee would use the term “cursor” in the phrase “cursor control element” if the patentee meant the phrase to cover all control operations discussed in the specification. Indeed, Apple’s construction covering “click” and “multiple click” would effectively read “cursor” out of the claim term, in that a user does not “click” until the cursor has already arrived at the desired location on the screen. Reading a term the patentee chose out of the claim construction is disfavored. *See Merck & Co v. Teva Pharms. USA, Inc.*, 395 F.3d 1364, 1372 (Fed. Cir. 2005). The Court should adopt Elan’s proposed construction.

V. U.S. Patent No. 7,495,659

A. “Native Sensor Coordinates Should be Construed to Mean “Coordinates Indicating the Absolute Position of an Object on or Near the Touchpad.”

Apple’s Proposal	Elan’s Proposal
the sensor coordinates of a touchpad	Coordinates indicating the absolute position of an object on or near the touchpad

Apple admits in its description of the claimed invention that touch pads are made of sensors that are capable of detecting the proximity of a finger to the pad (Br. at 30:10-12) but puts that aside when attempting to justify its meaningless construction of “native sensor coordinates.” Instead, Apple offers a construction that paraphrases the claim language but ignores the entire

1 purpose of the sensors in the claims, which is to detect where on the pad a finger is touching at any
 2 given time.³ Apple is incorrect when it states that native sensor coordinates are an innate property
 3 of the touchpad whether or not a finger ever touches it. Apple Br. at 31:23-28.

4 Apple's argument relies entirely on the "one embodiment" of a touch sensor shown in Fig.
 5 1. That embodiment does have conductive trace lines – or sensors – arranged in an x-y coordinate
 6 system. The patent makes it very clear, however, that it encompasses many other sensor designs,
 7 including resistive sensing and capacitive sensing. *See* DeBruine Decl., Dkt No. 88, Ex. F at 5:38-
 8 45. Many such touch sensors are composed of a single undifferentiated conductive sheet or two
 9 such sheets. Dezmelyk Decl., Dkt No. 89 at ¶¶ 6-14. In those designs, there are no recognizable
 10 "sensor coordinates." Rather, the sensor covers every possible coordinate. The coordinate system
 11 is therefore imposed by the design of the sensing electronics. In addition, both parties' experts
 12 agree that the coordinates where sensors may be located are not the native location where finger
 13 contact is sensed. Apple's argument otherwise is therefore inconsistent with the patent itself, and
 14 with the understanding of those skilled in the art.

15 Moreover, the only use for such coordinates in the claimed invention is as a way that,
 16 when a finger is detected, the position at which it is detected can be reported and that information
 17 used to produce some corresponding result such as cursor tracking or changing a button state.
 18 Apple agrees that "the claim language confirms that it is the configuration of the sensors that
 19 represents the information reflecting native sensor coordinates that ultimately allows the controller
 20 to determine where the finger or object is." Apple Br. at 32:11-13. Apple seems to find some
 21 contradiction between sensor signals being "associated" with native sensor coordinates and sensor
 22 signals "indicating native sensor coordinates." *Id.* at 32:13-18. There is no such contradiction, as
 23 Apple made clear during the prosecution of the patent. The original application's claims used the
 24 language "native values of the native sensor coordinates." DeBruine Decl., Ex. D ('659
 25 Application) at 34. In its April 12, 2007 Amendment After Final Rejection, Apple changed this
 26 phrase to its current wording: "values associated with the native sensor coordinates." *See* Supp.

27
 28 ³ Elan's construction allows for the fact that sensors are often able to detect a finger or other
 object near to, but not physically touching, the surface.

DeBruine Decl., Ex. E at 2. Apple made clear, however, that it intended no change in the meaning. Rather, it amended the claim language only “in an attempt to improve grammar and syntax.” *Id.* at 9. Consistent with the intention that “values associated with the native sensor coordinates” means the same thing as “native values of the native sensor coordinates,” in its remaining arguments to the PTO. Apple simply referred to the claimed invention as having “native values” that are converted and filtered according to the rest of the claims. *Id.* at 10. Thus, the values associated with the native sensor coordinates are the coordinate values received from the sensors. Moreover, the term “coordinates” is meaningless until the raw data from the sensors is interpreted and a location – a set of *coordinates* – is determined. Apple’s argument therefore is inconsistent with the patent, the statements made during prosecution, and the technology as understood by those skilled in the art. On the contrary, it is the association between values and coordinates that allows the controller to interpret signals to indicate the absolute location where a sensor has been triggered and thus a finger detected.

B. “Logical Device Units” Should Be Construed To Mean “Discrete User Actuation Zones Representing Areas of the Touch Pad Encompassing Groups of Native Sensor Coordinates.”

Apple’s Proposal	Elan’s Proposal
one or more actuation zones representing one or more areas of the touch pad encompassing native sensor coordinates	discrete user actuation zones representing areas of the touch pad encompassing groups of native sensor coordinates

Apple argues that Elan’s construction of “logical device units” requiring that actuation zones be discrete not overlapping, is wrong because claim 33, arguably the narrowest claim in the patent, requires “a touch pad whose entire touch sensing surface is divided into a plurality of independent and spatially distinct actuation zones.” Apple Br. at 34:22-28. While Elan agrees that differences among claims can be useful in understanding the meaning of claim terms, Claim 33 and its dependant claims are different in so many respects that the Court can conclude that they claim a different embodiment of the invention—not that the language “independent and spatially

distinct” in Claim 33 precludes a holding that all actuation zones are “discrete.” The ’659 patent relates to both improved touch pads and, more specifically, to media players with touch pads. DeBruine Decl., Dkt No. 88, Ex. F (Patent) at 1:25-27. The claims asserted against Elan relate to touch pad systems generally. There is likewise no reason to conclude from these limitations in Claim 33 that in all other claims, actuation zones may overlay one another. On the contrary, the specification repeatedly explains that the touch pad controller “separates” and “divides” the surface into the virtual actuation zones. *See id.* at 3:26-28 (“a controller that *divides the surface* of the touchpad into logical device units that represent areas...that can be actuated by the user”); 6:67-7:2 (“the controller may *separate the surface* of the touch pad into virtual actuation zones); *see also* at 7:25-28 (“for example, the touch pad can be *broken up* into larger slices than would otherwise be obtainable using the native sensor coordinates”).

Apple also argues that the whole touch pad can constitute on big logical device unit, based on the claim language “one or more logical device units associated with the surface of the touch pad.” Apple Br. at 35:2-15. This is consistent with the plain language, but does not reflect the invention described in the specification. The whole point of the “virtual actuation zones” enabled by the logical device units is to enable applications in which the user can touch different areas of the screen to convey different information to produce different results. The touching a zone can emulate turning a dial to a specific position, pressing a touch button, touching an up, down, left or right arrow key, etcetera, to allow the user to play a game, select functions or applications from a menu, or scroll through images, for example. None of these goals can be realized if the whole touchpad is one actuation zone. In addition, a single logical device unit is inherently a discrete zone.

The Summary of the Invention explains that the controller “divides the surface of the touch pad into logical device units that represent areas of the touchpad...” DeBruine Decl., Dkt No. 88, Ex. F (Patent) at 3:26-28. A much better interpretation of “one or more logical device units” that is consistent with the descriptions of the invention is that, for some applications, the controller may divide the surface so that one area is an actuation zone, in which a touch will be interpreted as input to produce some specific result, while the remainder of the screen is not an actuation zone

1 but background. Elan’s proposed construction keeps “zones” plural to agree with “logical device
2 units” in the claim language, and should be adopted.

3 Apple next argues that not only can a logical device unit be as large as the entire touchpad
4 surface but it can also be as small as a single native sensor coordinate. Apple Br. at 35:16-28.
5 Apple’s sole alleged support for this illogical construction is one sentence in the specification that
6 says the ratio can be as low as “about 1:1 and more particularly about 8:1.” *Id.*, citing the ’659
7 patent at 7:17-21 (description of Fig. 2). This argument demonstrates the danger of reading
8 snippets of the specification into the claims. If anything, the cited language suggests that the
9 smallest number of sensor coordinate pairs that should be grouped together in a logical device unit
10 is *eight*. But more importantly, as Apple explains in its “background” but ignores in its argument,
11 the supposed innovation over the prior art claimed in the ’659 patent is to make more efficient use
12 of the information generated at the touchpad than simply reporting coordinates of a touch. *See*
13 Apple Br. at 30:10-26. Translating native coordinates into logical device units representing
14 actuation zones, and then communicating to the host device that a specific zone has been actuated,
15 is more efficient and beneficial. *Id.* But a touch pad in which each native sensor coordinate
16 corresponds to a single actuation zone would effectively be a touch pad with no logical device
17 units or actuation zones at all. It would be a touchpad like the prior art touchpads that existed
18 before the patent application, as described in the Background of the Invention. *See* DeBruine
19 Decl., Dkt No. 88, Ex. F (Patent) at 2:17-29. Undoubtedly, this explains why the patentee, after
20 explaining that the ratio of native sensor coordinates to virtual actuation zones “may be between
21 about 1024:1 to about 1:1” quickly added in the same breath that “more particularly” the low end
22 is *eight* to one. Elan’s construction, requiring merely that logical device units represent areas of
23 the touchpad encompassing “groups of native sensor coordinates” should be accepted and Apple’s
24 attempt to broaden the scope of the claim to include a ratio of 1:1 should be rejected.

25 VI. CONCLUSION

26 For the foregoing reasons, the Court should adopt Elan’s constructions.
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Respectfully submitted,

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